

STATE OF WISCONSIN
Department of Financial Institutions



Division of Banking
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Madison, WI 53707-7876
Telephone: (608) 261-7578
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**EQUITY LOANS AND
INVESTMENTS PERCENTAGE
APPLICATION**

APPLICANT INFORMATION			
Name of Bank:			Date of Application:
Street Address <i>(include mailing address if different)</i> :			Telephone Number:
City:	State:	Zip:	Fax Number:

Name/Title/Affiliation of Person Responsible For Responding To Questions Relating to The Application:			
Mailing Address:			Telephone Number:
City:	State:	Zip:	Fax Number:

GENERAL INFORMATION

APPLICATION FORM: Print or type the requested information in the spaces provided. An original and one copy of the completed application are to be forwarded to the Division at the above address. An approved copy will be returned for the Applicant's file. Schedules or inserts may be attached to this application whenever the space provided is insufficient. Attached schedules or inserts are a part of the application. **If applicable, a copy of the federal regulatory application should also be provided to the Division.**

QUESTIONS: Questions regarding the application can be directed to 608-266-0446.

FEE: None

PUBLIC INFORMATION: This application is a public record and is available to the public upon request. Personally identifiable information may be shared with other State or Government Agencies.

CONFIDENTIAL INFORMATION: Information derived from an examination by any bank regulatory agency and personally identifiable information, such as found on financial statement and/or biographical information forms, is considered CONFIDENTIAL. The Division has determined that the percentages approved in this application are CONFIDENTIAL since examination data is relied on to evaluate the factors that must be considered in determining the percentages.

PUBLICATION REQUIREMENT: None

EQUITY LOANS

The bank makes application to the Division of Banking to establish a percentage of the bank's capital that the bank may lend pursuant to Section 221.0321(1), Wis. Stats. The following percentage is applied for:

_____ Percent *(Note, the percentage indicated may not exceed 20 percent)*

Are there plans to make equity loans through a subsidiary of the bank?
(If yes, a separate letter application must be filed to establish and operate a subsidiary.)

Yes No

EQUITY INVESTMENTS

The bank makes application to the Division of Banking to establish a percentage of the bank's capital that the bank may invest pursuant to Section 221.0321(2), Wis. Stats. The following percentage is applied for:

_____ Percent *(Note, the percentage indicated may not exceed 20 percent)*

Are there plans to make equity investments through a subsidiary of the bank?
(If yes, a separate letter application must be filed to establish and operate a subsidiary.)

Yes No

APPLICANT ACTION

BOARD RESOLUTION:

(Attach copy of actual resolution or complete resolution in format provided below.)

The Board of Directors of the Bank, at a meeting held on _____, adopted the following
(Date)

Resolution:

Be it resolved that _____ of this Bank is hereby authorized
(Name and Title of Designated Officer)

and directed to make Application on behalf of this Bank to the Division of Banking to establish a percentage of the Bank's capital that the Bank may lend pursuant to Section 221.0321(1), Wis. Stats., and invest pursuant to Section 221.0321(2), Wis. Stats.

The percentages applied for are _____ percent for equity loans and _____ percent for equity investments.

The above Resolution has not been rescinded or modified and has been duly entered in the minute book of the Applicant Bank. Application is hereby made.

Signature of Designated Officer/Title

Date

DIVISION ACTION

CONFIDENTIAL

The Division of Banking must rely upon examination data, which is confidential pursuant to Section 220.06(1m), Wis. Stats., to evaluate the factors that must be considered to establish the applicable percentages for the Bank pursuant to Section 221.0321(3), Wis. Stats. The Division has determined that the percentages approved in this application are CONFIDENTIAL. The percentages established are to be kept CONFIDENTIAL and may not be disclosed by the Bank's directors, officers or employees.

The following percentages are established for the Bank:

Equity Loans _____ percent

Equity Investments _____ percent

Administrator
Division of Banking

Date

This document can be made available in alternate formats upon request to qualifying individuals with disabilities.