

Federal Financial Institutions Examination Council



**Consolidated Reports of Condition and Income for
 a Bank with Domestic Offices Only—FFIEC 041**

Report at the close of business September 30, 2017 (20170930)
(RCON 9999)

This report is required by law: 12 U.S.C. §324 (State member banks); 12 U.S.C. §1817 (State nonmember banks); and 12 U.S.C. §161 (National banks); and 12 U.S.C. §1464 (Savings association).

Unless the context indicates otherwise, the term "bank" in this report form refers to both banks and savings associations.

This report form is to be filed by banks with domestic offices only except those banks that file the FFIEC 051 Banks with foreign offices (as defined in the instructions) must file FFIEC 031.

NOTE: Each bank's board of directors and senior management are responsible for establishing and maintaining an effective system of internal control, including controls over the Reports of Condition and Income. The Reports of Condition and Income are to be prepared in accordance with federal regulatory authority instructions. The Reports of Condition and Income must be signed by the Chief Financial Officer (CFO) of the reporting bank (or by the individual performing an equivalent function) and attested to by not less than two directors (trustees) for state nonmember banks and three directors for state member national banks, and savings assns.

schedules) for this report date have been prepared in conformance with the instructions issued by the appropriate Federal regulatory authority and are true and correct to the best of my knowledge and belief.

We, the undersigned directors (trustees), attest to the correctness of the Reports of Condition and Income (including the supporting schedules) for this report date and declare that the Reports of Condition and Income have been examined by us and to the best of our knowledge and belief have been prepared in conformance with the instructions issued by the appropriate Federal regulatory authority and are true and correct.

I, the undersigned CFO (or equivalent) of the named bank, attest that the Reports of Condition and Income (including the supporting

 Director (Trustee)

 Director (Trustee)

 Director (Trustee)

 Signature of Chief Financial Officer (or Equivalent)

 Date of Signature

Submission of Reports

Each bank must file its Reports of Condition and Income (Call Report) data by either:

- (a) Using computer software to prepare its Call Report and then submitting the report data directly to the FFIEC's Central Data Repository (CDR), an Internet-based system for data collection (<https://cdr.ffiec.gov/cdr/>), or
- (b) Completing its Call Report in paper form and arranging with a software vendor or another party to convert the data into the electronic format that can be processed by the CDR. The software vendor or other party then must electronically submit the bank's data file to the CDR.

To fulfill the signature and attestation requirement for the Reports of Condition and Income for this report date, attach your bank's completed signature page (or a photocopy or a computer-generated version of this page) to the hard-copy record of the data file submitted to the CDR that your bank must place in its files.

The appearance of your bank's hard-copy record of the submitted data file need not match exactly the appearance of the FFIEC's sample report forms, but should show at least the caption of each Call Report item and the reported amount.

For technical assistance with submissions to the CDR, please contact the CDR Help Desk by telephone at (888) CDR-3111, by fax at (703) 774-3946, or by e-mail at CDR.Help@ffiec.gov.

Fiduciary Partners Trust Company

Legal Title of Bank (RSSD 9017)

APPLETON

City (RSSD 9130)

WI

54914

State Abbrev. (RSSD 9200)

ZIP Code (RSSD 9220)

FDIC Certificate Number 17287
(RSSD 9050)

Legal Entity Identifier (LEI) (RCON9224)

(Report only if your institution already has an LEI.)

The estimated average burden associated with this information collection is 74.9 hours per respondent and is expected to vary by institution, depending on individual circumstances. Burden estimates include the time for reviewing instructions, gathering and maintaining data in the required form, and completing the information collection, but exclude the time for compiling and maintaining business records in the normal course of a respondent's activities. A Federal agency may not conduct or sponsor, and an organization (or a person) is not required to respond to a collection of information, unless it displays a currently valid OMB control number. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503, and to one of the following: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW Washington, DC 20551; Legislative and Regulatory Analysis Division, Office of the Comptroller of the Currency, Washington, DC 20219; Assistant Executive Secretary, Federal Deposit Insurance Corporation, Washington, DC 20429.

Consolidated Reports of Condition and Income for A Bank With Domestic Offices Only

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For information or assistance, national banks, state nonmember banks, and savings associations should contact the FDIC's Data Collection and Analysis Section, 550 17th Street, NW, Washington, D.C. 20429, toll free on (800) 688-FDIC (3342), Monday through Friday between 8:00 a.m. and 5:00 p.m., Eastern Time. State member banks should contact their Federal Reserve District Bank.

Contact Information for the Report of Condition and Income

To facilitate communication between the Agencies and the bank concerning the Reports of Condition and Income, please provide contact information for (1) the Chief Financial Officer (or equivalent) of the bank signing the reports for this quarter and (2) the person at the bank - other than the Chief Financial Officer (or equivalent) - to whom questions about the reports should be directed. If the Chief Financial Officer (or equivalent) is the primary contact for questions about the reports, please provide contact information for another person at the bank who will serve as a secondary contact for communications between the Agencies and the bank concerning the Reports of Condition and Income. Enter "none" for the contact's e-mail address or fax number if not available. Contact information for the Reports of Condition and Income is for the confidential use of the Agencies and will not be released to the public.

Chief Financial Officer (or Equivalent) Signing the Reports

RYAN VALENTINE

Name (TEXTC490)

VICE PRESIDENT, CFO

Title (TEXTC491)

RVALENTINE@FIDUCIARYPARTNERS.COM

E-Mail Address (TEXTC492)

920-380-9960

Area Code / Phone Number / Extension (TEXTC493)

Area Code / Fax Number (TEXTC494)

Other Person to Whom Questions about the Reports Should be Directed

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Name (TEXTC495)

BUSINESS MANAGER

Title (TEXTC496)

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E-Mail Address (TEXT4086)

920-380-9960

Area Code / Phone Number / Extension (TEXT8902)

Area Code / Fax Number (TEXT9116)

Chief Executive Officer Contact Information

This information is being requested so the Agencies can distribute notifications about policy initiatives, deposit insurance assessments, and other matters directly to the Chief Executive Officers of reporting institutions. Notifications about other matters may include emergency notifications that may or may not also be sent to the institution's emergency contacts listed below. Please provide contact information for the Chief Executive Officer of the reporting institution. Enter "none" for the Chief Executive Officer's e-mail address or fax number if not available. Chief Executive Officer contact information is for the confidential use of the Agencies and will not be released to the public.

Chief Executive Officer

NONE

Name (TEXT FT42)

E-mail Address (TEXT FT44)

Area Code / Phone Number / Extension (TEXT FT43)

Area Code / Fax Number (TEXT FT45)

Emergency Contact Information

This information is being requested so the Agencies can distribute critical, time-sensitive information to emergency contacts at banks. Please provide primary contact information for a senior official of the bank who has decision-making authority. Also provide information for a secondary contact if available. Enter "none" for the contact's e-mail address or fax number if not available. Emergency contact information is for the confidential use of the Agencies and will not be released to the public.

Maximum number of allowed characters for the names and titles is 40. The maximum number for e-mail addresses is 75.

Primary Contact

PETER ROGERS

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PRESIDENT

Title (TEXT C367)

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920-380-9960

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VICE PRESIDENT

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Area Code / Phone Number / Extension (TEXT C374)

Area Code / Fax Number (TEXT C375)

USA PATRIOT Act Section 314(a) Anti-Money Laundering Contact Information

This information is being requested to identify points-of-contact who are in charge of your bank's USA Patriot Act Section 314(a) information requests. Bank personnel listed could be contacted by law enforcement officers or the Financial Crimes Enforcement Network (FinCEN) for additional information related to specific Section 314(a) search requests or other anti-terrorist financing and anti-money laundering matters. Communications sent by FinCEN to the bank for purposes other than Section 314(a) notifications will state the intended purpose and should be directed to the appropriate bank personnel for review. Any disclosure of customer records to law enforcement officers of FinCEN must be done in compliance with applicable law, including the Right to Financial Privacy Act (12 U.S.C. 3401 et seq.).

Please provide information for a primary and secondary contact. Information for a third and fourth contact may be provided at the bank's option. Enter "none" for the contact's e-mail addresses if not available. This contact information is for the confidential use of the Agencies, FinCEN, and law enforcement officers and will not be released to the public.

Maximum number of allowed characters for the names and titles is 40. The maximum number for e-mail addresses is 75.

Primary Contact

RYAN VALENTINE

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VICE PRESIDENT, CFO

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Third Contact

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Title (TEXT C871)

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VICE PRESIDENT

Title (TEXT C876)

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Area Code / Phone Number / Extension (TEXT C878)

Consolidated Report of Income for the period January 1, 2017 — September 30, 2017

Schedule RI—Income Statement

Dollar Amounts in Thousands	RIAD	Amount	
1. Interest income:			
a. Interest and fee income on loans:			
(1) Loans secured by real estate:			
(a) Loans secured by 1–4 family residential properties	RIAD4435	0	1.a.(1)(a)
(b) All other loans secured by real estate	RIAD4436	0	1.a.(1)(b)
(2) Commercial and industrial loans.....	RIAD4012	0	1.a.(2)
(3) Loans to individuals for household, family, and other personal expenditures:			
(a) Credit cards.....	RIADB485	0	1.a.(3)(a)
(b) Other (includes revolving credit plans other than credit cards, automobile loans and other consumer loans).....	RIADB486	0	1.a.(3)(b)
(4) Not applicable			
(5) All other loans (1)	RIAD4058	0	1.a.(5)
(6) Total interest and fee income on loans (sum of items 1.a.(1)(a) through 1.a.(5)).....	RIAD4010	0	1.a.(6)
b. Income from lease financing receivables.....	RIAD4065	0	1.b.
c. Interest income on balances due from depository institutions (2)	RIAD4115	0	1.c.
d. Interest and dividend income on securities:			
(1) U.S. Treasury securities and U.S. Government agency obligations (excluding mortgage-backed securities).....	RIADB488	0	1.d.(1)
(2) Mortgage-backed securities.....	RIADB489	0	1.d.(2)
(3) All other securities (includes securities issued by states and political subdivisions in the U.S.).....	RIAD4060	1	1.d.(3)
e. Not applicable.			
f. Interest income on federal funds sold and securities purchased under agreements to resell.....			
	RIAD4020	0	1.f.
g. Other interest income.....			
	RIAD4518	0	1.g.
h. Total interest income (sum of items 1.a.(6) through 1.g.).....			
	RIAD4107	1	1.h.
2. Interest expense:			
a. Interest on deposits:			
(1) Transaction accounts (interest-bearing demand deposits, NOW accounts, ATS accounts, and telephone and preauthorized transfer accounts).....	RIAD4508	0	2.a.(1)
(2) Nontransaction accounts:			
(a) Savings deposits (includes MMDAs).....	RIAD0093	0	2.a.(2)(a)
(b) Time deposits of \$250,000 or less.....	RIADHK03	0	2.a.(2)(b)
(c) Time deposits of more than \$250,000.....	RIADHK04	0	2.a.(2)(c)
b. Expense of federal funds purchased and securities sold under agreements to repurchase			
	RIAD4180	0	2.b.
c. Interest on trading liabilities and other borrowed money.....			
	RIAD4185	0	2.c.

1. Includes interest and fee income on “Loans to depository institutions and acceptances of other banks,” “Loans to finance agricultural production and other loans to farmers,” “Obligations (other than securities and leases) of states and political subdivisions in the U.S.,” and “Loans to nondepository financial institutions and other loans.”

2. Includes interest income on time certificates of deposit not held for trading.

Schedule RI—Continued

	Dollar Amounts in Thousands		Year-to-date	
			RIAD	Amount
2. Interest expense (continued):				
d. Interest on subordinated notes and debentures.....	RIAD4200	0		2.d.
e. Total interest expense (sum of items 2.a through 2.d).....	RIAD4073	0		2.e.
3. Net interest income (item 1.h minus 2.e).....	RIAD4074	1		3.
4. Provision for loan and lease losses.....	RIAD4230	0		4.
5. Noninterest income:				
a. Income from fiduciary activities(1).....	RIAD4070	1,182		5.a.
b. Service charges on deposit accounts.....	RIAD4080	0		5.b.
c. Trading revenue(2).....	RIADA220	0		5.c.
d. (1) Fees and commissions from securities brokerage	RIADC886	0		5.d.(1)
(2) Investment banking, advisory, and underwriting fees and commissions	RIADC888	0		5.d.(2)
(3) Fees and commissions from annuity sales	RIADC887	0		5.d.(3)
(4) Underwriting income from insurance and reinsurance activities	RIADC386	0		5.d.(4)
(5) Income from other insurance activities	RIADC387	0		5.d.(5)
e. Venture capital revenue.....	RIADB491	0		5.e.
f. Net servicing fees	RIADB492	0		5.f.
g. Net securitization income.....	RIADB493	0		5.g.
h. Not applicable				
i. Net gains (losses) on sales of loans and leases.....	RIAD5416	0		5.i.
j. Net gains (losses) on sales of other real estate owned.....	RIAD5415	0		5.j.
k. Net gains (losses) on sales of other assets(3).....	RIADB496	0		5.k.
l. Other noninterest income*	RIADB497	0		5.l.
m. Total noninterest income (sum of items 5.a through 5.l)	RIAD4079	1,182		5.m.
6. a. Realized gains (losses) on held-to-maturity securities.....	RIAD3521	0		6.a.
b. Realized gains (losses) on available-for-sale securities	RIAD3196	0		6.b.
7. Noninterest expense:				
a. Salaries and employee benefits	RIAD4135	570		7.a.
b. Expenses of premises and fixed assets (net of rental income) (excluding salaries and employee benefits and mortgage interest).....	RIAD4217	34		7.b.
c.(1) Goodwill impairment losses.....	RIADC216	0		7.c.(1)
(2) Amortization expense and impairment losses for other intangible assets.....	RIADC232	0		7.c.(2)
d. Other noninterest expense*	RIAD4092	239		7.d.
e. Total noninterest expense (sum of items 7.a through 7.d).....	RIAD4093	843		7.e.
8. Income (loss) before applicable income taxes and discontinued operations (item 3 plus or minus items 4, 5.m, 6.a, 6.b, and 7.e).....	RIAD4301	340		8.
9. Applicable income taxes (on item 8)	RIAD4302	0		9.
10. Income (loss) before discontinued operations (item 8 minus item 9).....	RIAD4300	340		10.
11. Discontinued operations, net of applicable income taxes*.....	RIADFT28	0		11.
12. Net income (loss) attributable to bank and noncontrolling (minority) interests (sum of items 10 and 11).....	RIADG104	340		12.
13. LESS: Net income (loss) attributable to noncontrolling (minority) interests (if net income, report as a positive value; if net loss, report as a negative value).....	RIADG103	0		13.
14. Net income (loss) attributable to bank (item 12 minus item 13).....	RIAD4340	340		14.

* Describe on Schedule RI-E—Explanations

- For banks required to complete Schedule RC-T, items 14 through 22, income from fiduciary activities reported in Schedule RI, item 5.a, must equal the amount reported in Schedule RC-T, item 22.
- For banks required to complete Schedule RI, Memorandum item 8, trading revenue reported in Schedule RI, item 5.c, must equal the sum of Memorandum items 8.a through 8.e.
- Exclude net gains (losses) on sales of trading assets and held-to-maturity and available-for-sale securities.

