

Please - Do Not Staple

Chapter 202, Wis. Stats.
Subchapter II

STATE OF WISCONSIN
Department of Financial Institutions

Division of Corporate and
Consumer Services,
Charities Section

E-Mail:
DFICharitableOrgs@dfi.wisconsin.gov



Mailing Address:
PO Box 7879
Madison, WI 53707-7879

Telephone: (608) 267-1711

WEBSITE: DFI.WI.GOV

#2252

**CONVICTIONS AND PENDING
CHARGES**

Fax: (608) 267-6813

Courier Address:
4822 Madison Yards Way
North Tower
Madison, WI 53705

Purpose: If you have been convicted of a crime or have criminal charges pending against you, complete this form and return it with your application. This form should only be submitted with registration applications for charitable organizations, professional fundraisers, fundraising counsels, professional employer organizations and professional employer groups.

1. Provide the following personal information.

Last Name:		First Name:		MI	Former/Maiden Name(s):	
Street Address:					P.O. Box:	
City:			State:		Zip:	
Date of Birth:			Social Security Number:			
Name of Charity, Fundraiser, or PEO:						

2. List all other names used.

3. List all felonies, misdemeanors, and other violations of federal, state or local law or municipal ordinance of which you have ever been convicted, in this state or any other, whether the conviction resulted from a plea of no contest or a guilty plea or verdict. For each, list the date and location of the conviction. Please include all convictions that involved alcohol and or other drug use, including convictions for operating while intoxicated. During the department's review of the application for registration, you may be asked to submit the following:

- copies of the police report or criminal complaint
- criminal history report
- judgment of conviction and sentencing
- verification of compliance with all terms of each sentence, including chemical dependency assessments (if ordered by the court)
- information pertaining to probation or parole requirements and compliance
- personal statement describing each offense along with an explanation of the penalties imposed and verification that you completed all requirements.

4. List all felonies, misdemeanors, or other violations of federal, state or local law or municipal ordinance for which you have been arrested and which are **pending** against you. For each, list the date of arrest and location (city/state). **Submit** a copy of the police report/criminal complaint for each pending charge.

5. Provide any comments you wish to make regarding your convictions or pending charges. Additional pages may be attached.

AFFIDAVIT

I state that I am the person referred to in this document and that all information which I provided above is true in every respect. I understand that false or forged statements made in this document in connection with my application for a credential, or failing to provide relevant information, may be grounds for denial of the application, revocation of the credential granted to me, or criminal prosecution.

Applicant Signature

Date

SUBSCRIBED AND SWORN TO BEFORE ME

THIS _____ DAY OF _____, _____

(Notary Public)

My Commission Expires: _____

RETURN MATERIALS TO: Department of Financial Institutions, Division of Corporate and Consumer Services.

Mailing Address:

PO Box 7879

Madison, Wisconsin 53707-7879

Street Address:

4822 Madison Yards Way, North Tower

Madison, Wisconsin 53705

The Fair Employment Act (sections 111.31-111.395, Wis. Stats.) prohibits employment discrimination on the basis of conviction record or arrest record unless the circumstances of the conviction or arrest substantially relate to the circumstances of the particular job or licensed activity. The information requested on this form will be used to determine whether your application should be granted, approved with limitations, or denied. The information you provide on this form may be verified against criminal information records. Omission of information on this form will be considered a false statement on an application.

This form is required under Section 202.12, Wisconsin Statutes. Refusal to provide this information may result in the denial of this registration application. Personally identifiable information on this form may be matched against tax information, outstanding child and family support data and law enforcement agencies. Failure to complete this application completely and accurately may result in denial or revocation of registration, and any other penalties as provided by law.

This document can be made available in alternate formats upon request to qualifying individuals with disabilities.

FREQUENTLY ASKED QUESTIONS

1. If I was named in a trial but not convicted, do I need to report or submit anything?

You might want to send in a court document stating either that the charges were dismissed or you were acquitted. If you entered into a deferred prosecution agreement, you might want to submit a copy of the agreement and verification that you have complied with all terms and conditions of the agreement.

2. What do I do if records are no longer available due to the length of time passed since the conviction?

If convictions are old or records are no longer available, please include a personal statement (as described below) describing each offense listed on the #2252 along with an explanation of the penalties imposed and verification that you completed all requirements.

3. Do I need to report or submit anything about minor traffic violations, i.e. a speeding ticket?

There is no need to disclose minor traffic violations.

4. How can I find out if I am excluded from getting a license due to a conviction?

Each profession is regulated by its respective statutes and rules. Please refer to the respective statutes and rules of the profession for which you are applying to determine whether your conviction records are substantially related to the practice of the profession. We are unable to determine prior to full review whether an applicant will or will not be issued a license based on the conviction records.

5. How long does it take to review these documents?

The time period for conviction review varies depending on when all documentation is received and whether it needs to be reviewed by supervisory staff.

6. What needs to be in the personal statement?

A personal statement should describe each offense listed on the #2252 along with an explanation of the penalties imposed and verification that you completed all requirements. You might also include any information about changes in your life (since the time of your last conviction) that you would like the division to consider during the review.

7. Do I need to hire a lawyer?

It is your decision as to whether you need to hire an attorney. If you would like a legal opinion you might want to hire a private attorney as the Department of Financial Institutions does not provide legal advice to applicants.