

**STATE OF WISCONSIN
Department of Financial Institutions**



Division of Banking
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Madison, WI 53707-7876
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dfi.wi.gov

**WISCONSIN FOREIGN TRUST
REQUIREMENTS**

GENERAL INFORMATION

- APPLICATION:** Letter application indicating desire to obtain authorization to act under Wisconsin Statutes 223.12.
- DOCUMENTATION:** Provide certified copies of charter, articles of incorporation and amendments, and certificate of authority to act as a fiduciary.
- Provide statute cite or a copy of the statute which provides reciprocal treatment for Wisconsin institutions in the state where Applicant is located.
- The Applicant must pledge cash or securities of at least \$100,000 with the Department of Administration or carry adequate errors and omission insurance in lieu of the pledge. The insurance policy would have to be filed with this division. The Department of Administration's contact number is 608-267-0324.
- QUESTIONS:** Questions regarding the application can be directed to 608-266-0446.
- FEE:** The letter application is to be accompanied with the Applicant's check in the amount of \$1,000 payable to the Department of Financial Institutions.
- PUBLIC INFORMATION:** The information provided in the application is a public record and is available to the public upon request.
- CONFIDENTIAL INFORMATION:** Personally identifiable information, such as the Applicant's federal employer identification number and information found on a financial statement and/or biographical information forms, is considered CONFIDENTIAL although the information may be shared with other State or Government Agencies. Although the Applicant can request CONFIDENTIAL treatment of other information, such as information relating to trade secrets, CONFIDENTIALITY of such information cannot be guaranteed. Information for which CONFIDENTIAL treatment is requested should be specifically identified in the public portion of the application by referencing the CONFIDENTIAL section and should be separately bound and labeled CONFIDENTIAL. A justification as to why the information is considered CONFIDENTIAL should also be provided.
- PUBLICATION REQUIREMENT:** None

This document can be made available in alternate formats upon request to qualifying individuals with disabilities.